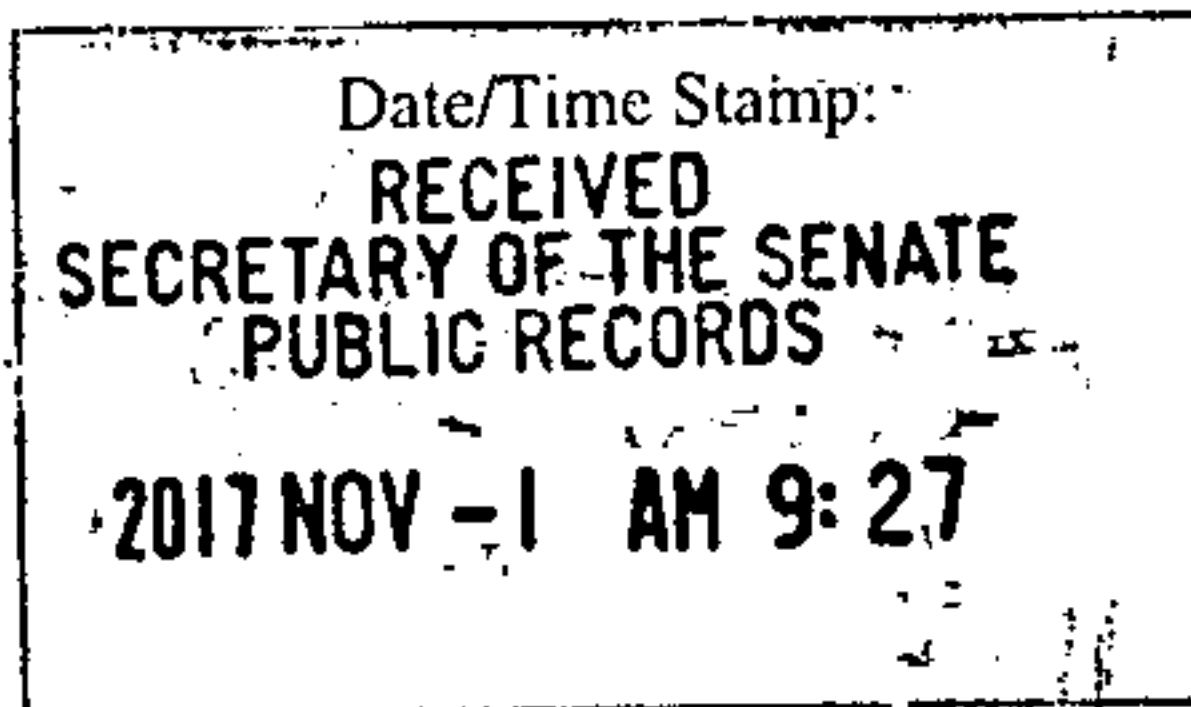


Employee Post-Travel Disclosure of Travel Expenses



Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
- ☒ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Aspen Institute, Aspen Ideas Festival, Commonwealth Fund

Travel date(s): June 22-25, 2017

Name of accompanying family member (if any): N/A

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount	\$950	\$999	Breakfast and Lunch included in Conference; dinner \$128	\$2000 Conference registration pass (includes \$190 per day meeting package which includes breakfast, lunch, snacks, and reception)

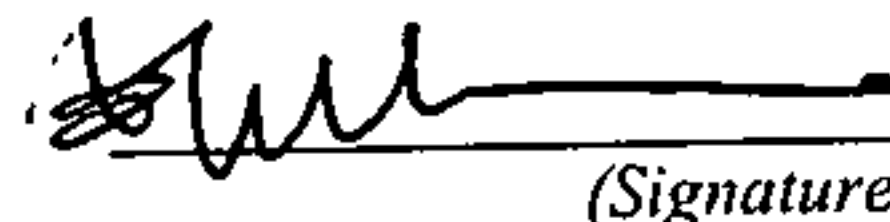
Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): I attended conference workshops and seminars, and attended two dinners as a guest of the Commonwealth Fund and Aspen Institute.

11/1/2017
(Date)

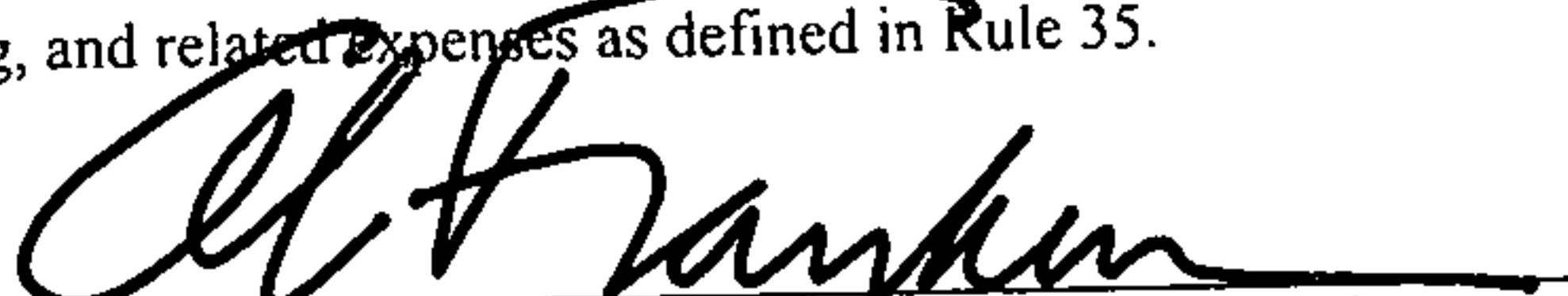
Elizabeth Wikler
(Printed name of traveler)


(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

11/1/2017
(Date)


(Signature of Supervising Senator/Officer)

(Rev. Sept 10/19/15)

Form RE-1

44-38861-1074



Dear Beth:

I am writing on behalf of the Aspen Institute and the Aspen Ideas Festival, Spotlight Health, the flagship event of the Institute which takes place each summer in Aspen, Colorado. Spotlight Health will run from June 22-June 25, 2017. Each year we offer scholarships to remarkable leaders across the country and beyond. **As you may know, you have been nominated by The Commonwealth Fund to be a scholar at Spotlight Health.**

I am thrilled to let you know we have made our selections from a very competitive list of nominations, and we would like to offer you a scholarship to **Spotlight Health (June 23-June 25)** of the 2017 Aspen Ideas Festival, which includes a **pass to the Festival**. If you decide to accept our offer, you will be required to register through our online system this week. **During the registration process please enter the code 2017SHunderwriter. You can still register as a Scholar even though the registration site may say that the Aspen Ideas Festival is sold out but you must register through the link on this email.**

Please reach out to Franmarie Kennedy (cc'ed here) for next steps regarding your lodging and travel accommodations.

Please visit the Spotlight Health website where you can find more information on the Festival, program tracks, and a speaker list that will be continuously updated as we get close to the Festival (do not register).

You are free to attend any of the sessions offered during the Festival, and you set your own schedule! As we get closer to the event start date, my colleagues will be in touch with ways to connect with other scholars prior to your arrival in Aspen, as well as with further logistical information. **If you are able to accept this scholarship with the terms outlined in this letter, please complete the registration link by May 22, 2017.**

If you choose to decline, please let me know via e-mail as soon as possible.

For questions, please contact me at (202) 736-1498 or Franmarie.kennedy@aspeninstitute.org. I am happy to provide more information about the Aspen Ideas Festival, the Aspen Institute, or Aspen in general. I look forward to hearing from you and meeting you this summer!

I join Walter Isaacson, Elliot Gerson, and Kitty Boone (Festival Founder) in extending to you a warm welcome. We sincerely hope you will join us.

00000000008975

Best,

Franmarie Kennedy
Deputy Director
Aspen Health, Medicine and Society Program
The Aspen Institute
202-736-1498

00000000008976



DAILY SCHEDULE

JUNE 22 – 25, 2017

THURSDAY, JUNE 22

Mid-day TBD: Arrival in Aspen, Colorado
10:00 AM – 5:00 PM Registration for Spotlight Health
2:30 – 4:30 PM Spotlight Health Preview Sessions
5:00 – 7:00 PM Opening and Welcome
Opening Reception
(immediately following)
7:30 – 10:00 PM Evening Sessions

FRIDAY, JUNE 23

7:45 – 8:50 AM Breakfast Sessions
9:00 – 10:00 AM Plenary Sessions
10:20 – 11:20 AM Breakout Sessions
12:00 – 1:00 PM Lunch Sessions
1:15 – 2:45 PM Deep Dives
3:00 – 4:00 PM Breakout Sessions
4:15 – 5:15 PM Plenary Sessions
5:30 – 6:30 PM Speaker Salons
6:30 – 7:30 PM Spotlight Health Scholar Reception
7:30 – 10:00 PM Evening Sessions

SATURDAY, JUNE 24

7:45 – 8:50 AM Breakfast Sessions
9:00 – 10:00 AM Plenary Sessions
10:20 – 11:20 AM Breakout Sessions
12:00 – 1:00 PM Lunch Sessions
1:15 – 2:45 PM Deep Dives
3:00 – 4:00 PM Breakout Sessions
4:15 – 5:15 PM Plenary Sessions
5:30 – 6:30 PM Speaker Salons
7:00 – 9:00 PM Commonwealth Fund Dinner
Scholars and Speakers
7:30 – 10:00 PM Evening Sessions

SUNDAY, JUNE 25

7:45 – 8:50 AM Breakfast sessions
9:00 – 10:00 AM Plenary Sessions
10:15 – 11:45 AM Closing Session
Afternoon TBD: Departure from Aspen, Colorado

PLEASE NOTE: Breakfast Sessions, Lunch Sessions, and Evening Sessions take place on our campus as well as at venues throughout the city of Aspen. See attached for complete descriptions of sessions.

99000000008977

Laboratory discoveries, cutting-edge technology and clinical advances are converging to bring better medicine to more people on an unparalleled scale. We're conquering killer diseases, figuring out how people can live longer than at any other time in history, replacing deteriorating body parts with greater ease, learning how the brain really works, and bringing disciplines together to solve problems collectively. Find out what's new and what's hot in health and medicine at Med School sessions that are closely tied into Spotlight Health's other rich offerings.

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

1. Sponsor(s) of the trip (please list all sponsors): The Aspen Institute; The Commonwealth Fund
2. Description of the trip: Attend Spotlight Health Conference of The Aspen Ideas Festival, the flagship annual conference event of The Aspen Institute
3. Dates of travel: June 22- 25, 2017
4. Place of travel: Aspen, Colorado
5. Name and title of Senate invitees: Pranay Udutha, Beth Wikler (See attachment for titles)
6. I *certify* that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

– OR –
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.

– AND –

☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.

– AND –
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Aspen Institute hosts the Aspen Ideas Festival and Spotlight Health conference annually. The Institute plans, organizes and conducts Spotlight Health, including the programming, production and speaker and scholar logistics. See continued response attached.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Aspen Institute is an educational and policy studies non-profit organization. Its mission is to foster leadership based on enduring values and provide a nonpartisan forum for the exchange of ideas and dealing with critical issues. See continued response attached.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

The Aspen Institute has a nearly forty-year history of conducting non-partisan educational forums, which include congressional trips. For almost twenty years, the Commonwealth Fund has hosted and invited Members of Congress to the Bipartisan Congressional Health Policy Conference. See continued response

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

The Aspen Institute and the Commonwealth Fund hold numerous educational activities annually, including educational briefings, breakfasts and other convenings to inform and engage policymakers and their staff. See continued response attached.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$950	\$999 (\$333 per night for three nights)	Breakfast and lunch included in conference registration; \$128 dinner	\$2000 conference registration pass (includes \$190 per day meeting package which includes breakfast, lunch, snacks, reception)

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The trip involves an event arranged without regard to congressional participation.

18. Reason for selecting the location of the event or trip

The Aspen Institute's campus and conference center in Aspen, Colorado is home to Spotlight Health Conference and Aspen Ideas Festival.

19. Name and location of hotel or other lodging facility:

Viceroy Snowmass, 130 Wood Road, Snowmass Village, Colorado

20. Reason(s) for selecting hotel or other lodging facility:

Hotel can accommodate Spotlight Health scholars and speakers with proximity to Aspen Institute campus, offers safety and security for participants and shuttle service back and forth from Spotlight Health conference.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The lodging rate (\$333) exceeds the per diem rate for Pitkin County, Colorado (\$191). The conference registration pass (\$2000) includes a daily meeting package of \$190 which includes breakfast, lunch, snacks, and reception(s).

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Coach airfare

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

N/A

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor: _____

Name and Title: Elliot Gerson, Executive Vice President, Public & Policy Programs

Name of Organization: The Aspen Institute, Inc.

Address: One Dupont Circle, NW Suite 700 WDC 20036

Telephone Number: 202-736-5859 (Lisa Jones)

Fax Number: 202-467-0790

E-mail Address: lisa.jones@aspeninstitute.org

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The lodging rate (\$333) exceeds the per diem rate for Pitkin County, Colorado (\$191). The conference registration pass (\$2000) includes a daily meeting package of \$190 which includes breakfast, lunch, snacks, and reception(s).

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

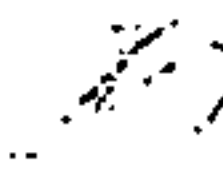
Coach airfare

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

N/A

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor: 

Name and Title: Rachel Nuzum, Vice President, Federal and State Health Policy

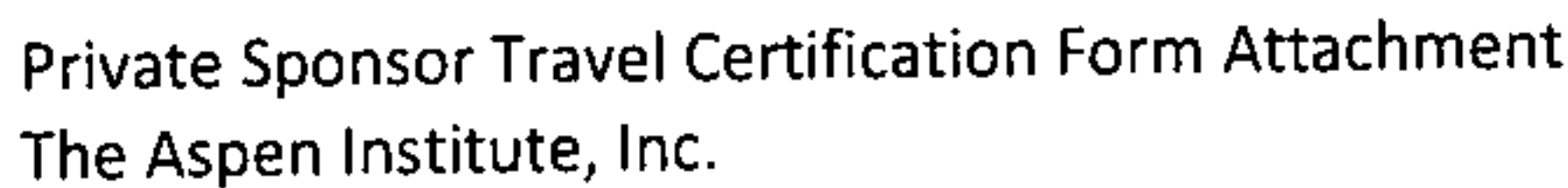
Name of Organization: The Commonwealth Fund

Address: 1666 K Street, NW Suite 1100 Washington, DC 20006

Telephone Number: 202-292-6722

Fax Number:

E-mail Address: RN@CMWF.org



12. The Commonwealth Fund is a non-partisan private foundation that supports independent research on health and social issues and makes grants to improve health care practice and policy. The Commonwealth Fund is a sponsor of Spotlight Health and of related policy scholarships to help bring a diverse group of health policy experts to the Spotlight Health conference. The Commonwealth Fund has contributed its expertise on health issues to the Spotlight Health programming.

13. Spotlight Health embraces this mission by providing a non-partisan forum on health and medicine issues and policy to foster learning and encourage thoughtful dialogue. One of the goals of the Commonwealth Fund is to connect policymakers at the federal and state level to objective, evidence-based, and timely health policy research and analysis. By sponsoring policy scholars at Spotlight Health conference, the Commonwealth Fund is connecting the scholars to health systems leaders and innovators in both the public and private sector with the expectation that this will help inform their work and professional learning and that they will contribute their own policy contributions to Spotlight Health.

United States Senate

SELECT COMMITTEE ON ETHICS

June 20, 2017

Elizabeth Wikler
Office of Senator Al Franken
United States Senate
Washington, DC 20510

Dear Ms. Wikler:

This responds to your recent correspondence concerning an invitation you received to travel to Spotlight Health 2017, in Aspen, Colorado on June 22-25, 2017, sponsored by the Aspen Institute (Aspen).¹ Aspen certified to the Select Committee on Ethics (the Committee) that it will pay the *necessary expenses*² related to the travel and that it is neither a lobbyist, nor lobbying firm, nor an agent of a foreign principal, and it is not otherwise acting as a representative or agent of a foreign government. Aspen has also certified that it does not retain or employ a registered lobbyist or agent of a foreign principal, and that no registered lobbyist will accompany you at *any point throughout your trip*.³

Based on information and materials available to the Committee, consistent with the guidance contained in this letter regarding extending your trip for a personal purpose, and assuming the **actual** travel and travel-related expenses conform to the information and materials you provided, it appears that the proposed payment or reimbursement of necessary expenses for this trip **may be accepted** under relevant Senate Rules and the Committee's *Regulations and Guidelines for Privately-Sponsored Travel*, so long as at the time of the payment or reimbursement, Aspen is neither a registered lobbyist nor lobbying firm under the Lobbying Disclosure Act of 1995, nor an agent of a foreign principal under the Foreign Agents Registration Act (and is not otherwise acting as a representative or agent of a foreign government), and provided the travel and all required documents are disclosed to the Secretary of the Senate in accordance with the provisions of Senate Rules 34 and 35.

Under Senate Rule 35, Senate staff must receive advance authorization signed by the Member or officer under whose direct supervision the individual works in order to accept

¹ Based on the information you submitted, the Committee understands that, for a personal purpose, you intend to extend your trip in Aspen for approximately six hours before the beginning of the officially related events, and approximately two hours after the conclusion of the officially related events. You are personally responsible for any additional expenses incurred as a result of extending your trip.

² The term "necessary expenses" has a specific definition. See *Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel – Glossary of Terms* at 8.

³ The term "any point throughout your trip" has a specific definition. See *id.* at 2.

